Volatility, leverage, liquidity, and counterparty creditworthiness

Any restrictions to fund withdrawal.

Concentration risk

Electronic platform issues

Assets don’t receive segregation protection in the event of a counter party bankruptcy

Disclosure that Forex transactions are placed directly with a country party and there is no exchange or central clearing house to support the transaction.

Not required to continue or make markets in the transition

Client replying on the counter party to continuo e make the market.

Description of each fee the CTA or CPO will charge.

Specify the dollar amount of each fee.

Management Fee and Inceptive fee and part of the commission or spread.

Explain all fees.

Promotional Material pre-review program

[art@nfa.futures.org](mailto:art@nfa.futures.org)

21 days prior to use and needs pre-review

Entire webinar on AML on the NFA website.